

PROCEEDINGS OF SPIE

***Advances in X-Ray/EUV Optics
and Components VI***

**Christian Morawe
Ali M. Khounsary
Shunji Goto**
Editors

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Contents

- ix *Conference Committee*
- xi *Introduction*
- xiii *In Memoriam: Dr. Alexander Kazimirov*
- xv *Biomedical spectral x-ray imaging: promises and challenges (Plenary Paper) [8143-100]*
S. M. Jorgensen, D. R. Eaker, E. R. Ritman, Mayo Clinic College of Medicine (United States)

SESSION 1 FOCUSING MIRRORS

- 8139 03 **Nested KB mirror fabrication for synchrotron hard x-ray nanofocusing** [8139-02]
B. Shi, W. Liu, J. Qian, C. Liu, R. Khachatryan, M. Wieczorek, A. Khounsary, A. T. Macrander, P. Zschack, Argonne National Lab. (United States); J. Z. Tischler, G. E. Ice, Oak Ridge National Lab. (United States); L. Assoufid, Argonne National Lab. (United States)
- 8139 04 **Dynamically-figured mirror system for high-energy nanofocusing at the ESRF** [8139-03]
R. Barrett, R. Baker, P. Cloetens, Y. Dabin, C. Morawe, H. Suhonen, R. Tucoulou, A. Vivo, L. Zhang, European Synchrotron Radiation Facility (France)
- 8139 05 **Development of a one-dimensional Wolter mirror for achromatic full-field X-ray microscopy** [8139-04]
S. Matsuyama, N. Kidani, Osaka Univ. (Japan); H. Mimura, The Univ. of Tokyo (Japan); J. Kim, Y. Sano, Osaka Univ. (Japan); K. Tamasaku, Y. Kohmura, M. Yabashi, T. Ishikawa, RIKEN (Japan); K. Yamauchi, Osaka Univ. (Japan)
- 8139 06 **Automated in-situ optimization of bimorph mirrors at Diamond Light Source** [8139-05]
J. P. Sutter, S. G. Alcock, K. J. S. Sawhney, Diamond Light Source Ltd. (United Kingdom)
- 8139 07 **An experimental apparatus for diffraction-limited soft x-ray nano-focusing** [8139-06]
D. J. Merthe, K. A. Goldberg, V. V. Yashchuk, S. Yuan, W. R. McKinney, R. Celestre, I. Mochi, J. Macdougall, G. Y. Morrison, S. B. Rakawa, E. Anderson, B. V. Smith, E. E. Domning, T. Warwick, H. Padmore, Lawrence Berkeley National Lab. (United States)

SESSION 2 MULTILAYER-BASED OPTICS

- 8139 08 **A double multilayer monochromator for the B16 Test beamline at the Diamond Light Source** [8139-07]
K. J. S. Sawhney, I. P. Dolbnya, S. M. Scott, M. K. Tiwari, G. M. Preece, S. G. Alcock, A. W. Malandain, Diamond Light Source Ltd. (United Kingdom)
- 8139 09 **Spatial coherence studies on x-ray multilayers** [8139-08]
C. Morawe, R. Barrett, K. Friedrich, R. Klünder, A. Vivo, European Synchrotron Radiation Facility (France)

8139 0A **Interface diffusion kinetics and lifetime scaling in multilayer Bragg optics** [8139-09]
R. W. E. van de Kruijs, S. Bruijn, A. Yakshin, I. Nedelcu, FOM Institute for Plasma Physics Rijnhuizen (Netherlands); F. Bijkerk, FOM Institute for Plasma Physics Rijnhuizen (Netherlands) and Univ. of Twente (Netherlands)

8139 0B **Roughening and smoothing behavior of Al/Zr multilayers grown on flat and saw-tooth substrates** [8139-10]
D. L. Voronov, E. H. Anderson, R. Cambie, E. M. Gullikson, F. Salmassi, T. Warwick, V. V. Yashchuk, H. A. Padmore, Lawrence Berkeley National Lab. (United States)

8139 0C **Development of supermirrors for high-resolution x-ray LMJ microscopes** [8139-11]
Ph. Troussel, D. Dennefieri, R. Rosch, C. Reverdin, H. Hartmann, Commissariat à l'Énergie Atomique (France); F. Bridou, E. Meltchakov, F. Delmotte, Lab. Charles Fabry, Institut d'Optique, CNRS, Univ. Paris Sud (France)

SESSION 3 FOCUSING ZONE PLATES AND LENSES

8139 0D **New x-ray nanofocusing devices based on total-reflection zone plates (Invited Paper)** [8139-12]
H. Takano, Univ. of Hyogo (Japan); T. Tsuji, Univ. of Hyogo (Japan) and Japan Synchrotron Radiation Research Institute (Japan); Y. Kagoshima, Univ. of Hyogo (Japan)

8139 0E **Characterization of a 20-nm hard x-ray focus by ptychographic coherent diffractive imaging** [8139-13]
J. Vila-Comamala, A. Diaz, M. Guizar-Sicairos, S. Gorelick, V. A. Guzenko, P. Karvinen, Paul Scherrer Institut (Switzerland); C. M. Kewish, Synchrotron SOLEIL (France); E. Färm, M. Ritala, Univ. of Helsinki (Finland); A. Manton, Bundesanstalt für Materialforschung und -prüfung (Germany); O. Bunk, A. Menzel, C. David, Paul Scherrer Institut (Switzerland)

8139 0G **Revisiting the "forgotten" first zoomable refractive x-ray lens** [8139-15]
W. Jark, Sincrotrone Trieste S.C.p.A. (Italy); L. Rigon, Univ. degli Studi di Trieste (Italy); K. Oliver, Diamond Detectors Ltd (United Kingdom)

SESSION 4 FACILITIES

8139 0I **Upgrade status of hard x-ray 100-nm probe beamlines BL37XU and BL39XU at SPring-8** [8139-17]
T. Koyama, H. Yumoto, Y. Terada, M. Suzuki, Japan Synchrotron Radiation Research Institute (Japan) and RIKEN (Japan); N. Kawamura, M. Mizumaki, Japan Synchrotron Radiation Research Institute (Japan); N. Nariyama, T. Matsushita, Japan Synchrotron Radiation Research Institute (Japan) and RIKEN (Japan); Y. Ishizawa, Japan Synchrotron Radiation Research Institute (Japan); Y. Furukawa, T. Ohata, H. Yamazaki, T. Takeuchi, Y. Senba, Japan Synchrotron Radiation Research Institute (Japan) and RIKEN (Japan); Y. Matsuzaki, M. Tanaka, Y. Shimizu, H. Kishimoto, T. Miura, Japan Synchrotron Radiation Research Institute (Japan); H. Kimura, K. Takeshita, H. Ohashi, M. Yamamoto, S. Goto, M. Takata, T. Ishikawa, Japan Synchrotron Radiation Research Institute (Japan) and RIKEN (Japan)

SESSION 5 SPECTROSCOPY

- 8139 0J **Characterization of the FERMI@Elettra's on-line photon energy spectrometer** [8139-18]
C. Svetina, A. Abrami, I. Cudin, C. Fava, S. Gerusina, R. Gobessi, L. Rumiz, G. Sostero, M. Zangrando, D. Cocco, Sincrotrone Trieste S.C.p.A. (Italy)
- 8139 0K **Heydemann interpolation correction for energy linearization of soft x-ray monochromators** [8139-19]
J. Krempaský, Paul Scherrer Institute (Switzerland); R. Follath, Helmholtz-Zentrum Berlin (Germany); V. N. Strocov, T. Schmitt, U. Flechsig, Paul Scherrer Institute (Switzerland)
- 8139 0L **Johansson crystals for x-ray diffractometry and demanding spectroscopy applications** [8139-20]
B. Verma, B. Ehlers, B. Kim, D. Wilcox, L. Jiang, Rigaku Innovative Technologies, Inc. (United States)

POSTER SESSION

- 8139 0M **Application of focusing x-ray spectrograph with spatial resolution and uniform dispersion in Z-pinch plasmas measurement** [8139-21]
Q. Yang, Y. Ye, G. Chen, Z. Li, Q. Peng, China Academy of Engineering Physics (China)
- 8139 0N **The influence of working gas pressure on interlayer mixing in magnetron-deposited Mo/Si multilayers** [8139-22]
Y. P. Pershyn, Kharkov Polytechnic Institute (Ukraine); E. M. Gullikson, Lawrence Berkeley National Lab. (United States); I. A. Artyukov, P.N. Lebedev Physical Institute (Russian Federation); V. V. Kondratenko, V. A. Sevryukova, Kharkov Polytechnic Institute (Ukraine); D. L. Voronov, Lawrence Berkeley National Lab. (United States); E. N. Zubarev, Kharkov Polytechnic Institute (Ukraine); A. V. Vinogradov, P.N. Lebedev Physical Institute (Russian Federation)
- 8139 0O **Flash imaging of fine structures of cellular organelles by contact x-ray microscopy with a high intensity laser plasma x-ray source** [8139-23]
M. Kado, M. Ishino, M. Kishimoto, Japan Atomic Energy Agency (Japan); S. Tamotsu, K. Yasuda, Nara Women's Univ. (Japan); Y. Kinjo, Tokyo Metropolitan Industrial Technology Research Institute (Japan); K. Shinohara, Waseda Univ. (Japan)
- 8139 0P **Scaling of laser produced plasma UTA emission down to 3 nm for next generation lithography and short wavelength imaging** [8139-24]
B. Li, Univ. College Dublin (Ireland); A. Endo, Waseda Univ. (Japan); T. Otsuka, Utsunomiya Univ. (Japan); C. O'Gorman, T. Cummins, T. Donnelly, D. Kilbane, Univ. College Dublin (Ireland); W. Jiang, Nagaoka Univ. of Technology (Japan); T. Higashiguchi, N. Yugami, Utsunomiya Univ. (Japan) and Japan Science and Technology Agency, CREST (Japan); P. Dunne, G. O'Sullivan, Univ. College Dublin (Ireland)

- 8139 0Q **Improved resolution for soft-x-ray monochromatization using lamellar multilayer gratings** [8139-25]
R. van der Meer, B. Krishnan, Univ. of Twente (Netherlands); I. V. Kozhevnikov, A.V. Shubnikov Institute of Crystallography (Russian Federation); M. J. De Boer, Univ. of Twente (Netherlands); B. Vratzov, Univ Twente (Netherlands) and NT&D - Nantechology and Devices (Germany); H. M. J. Bastiaens, J. Huskens, W. G. van der Wiel, Univ. of Twente (Netherlands); P. E. Hegeman, G. C. S. Brons, PANalytical (Netherlands); K.-J. Boller, Univ. of Twente (Netherlands); F. Bijkerk, Univ. of Twente (Netherlands) and FOM-Institute for Plasma Physics Rijnhuizen (Netherlands)
- 8139 0R **Development of a specimen holder combined with ultra thin film laser plasma x-ray source for compact contact-type soft x-ray microscope to observe hydrated living biological cells** [8139-27]
M. Ishino, M. Kado, Japan Atomic Energy Agency (Japan); K. Shinohara, Waseda Univ. (Japan); Y. Yamamoto, I. Hirai, Osaka Univ. (Japan); M. Kishimoto, M. Nishikino, N. Hasegawa, Japan Atomic Energy Agency (Japan); S. Tamotsu, K. Yasuda, Nara Women's Univ. (Japan); T. Kawachi, Japan Atomic Energy Agency (Japan)
- 8139 0S **Fabrication of the micro accelerator platform for x-ray applications** [8139-28]
J. Zhou, J. McNeur, E. Arab, G. Travish, Univ. of California, Los Angeles (United States); R. Yoder, Manhattanville College (United States)
- 8139 0T **Shorter wavelength EUV source around 6.X nm by rare-earth plasma** [8139-29]
T. Otsuka, Utsunomiya Univ. (Japan); D. Kilbane, T. Cummins, C. O'Gorman, P. Dunne, G. O'Sullivan, Univ. College Dublin (Ireland); W. Jiang, Nagaoka Univ. of Technology (Japan); A. Endo, Waseda Univ. (Japan); T. Higashiguchi, N. Yugami, Utsunomiya Univ. (Japan) and Japan Science and Technology Agency, CREST (Japan)
- 8139 0U **Characterization of broadband emission around 40 nm from potassium plasma** [8139-30]
H. Terauchi, M. Yamaguchi, T. Otsuka, Utsunomiya Univ. (Japan); T. Higashiguchi, N. Yugami, Utsunomiya Univ. (Japan) and Japan Science and Technology Agency, CREST (Japan); T. Yatagai, Utsunomiya Univ. (Japan); R. M. D'Arcy, P. Dunne, G. O'Sullivan, Univ. College Dublin (Ireland)
- 8139 0V **Diffraction efficiencies of holographic laminar and blazed types gratings for use in a flat-field spectrograph in the 50-200 eV range for transmission electron microscopes** [8139-31]
T. Imazono, M. Koike, T. Kawachi, Japan Atomic Energy Agency (Japan); M. Koeda, T. Nagano, H. Sasaki, Y. Oue, Z. Yonezawa, S. Kuramoto, Shimadzu Corp. (Japan); K. Sano, Shimadzu Emit Co., Ltd. (Japan)
- 8139 0W **High spatial resolution with zoomable saw-tooth refractive lenses?** [8139-33]
W. Jark, Sincrotrone Trieste S.C.p.A. (Italy)
- 8139 0X **The effect of surface residual stress on the performance of high quality x-ray mirrors** [8139-34]
J. Maj, G. Navrotsky, X. Huang, L. Assoufid, R. Khachatryan, J. Qian, M. Wiecek, Argonne National Lab. (United States)

- 8139 10 **Characterization of beryllium foils for coherent x-ray applications of synchrotron radiation and XFEL beamlines** [8139-37]
S. Goto, S. Takahashi, Japan Synchrotron Radiation Research Institute (Japan); Y. Inubushi, RIKEN (Japan); K. Tono, Japan Synchrotron Radiation Research Institute (Japan); T. Sato, M. Yabashi, RIKEN (Japan)
- 8139 11 **Thermal-contact-conductance measurement for high-heat-load optics components at SPring-8** [8139-38]
T. Takeuchi, M. Tanaka, Y. Senba, H. Ohashi, S. Goto, Japan Synchrotron Radiation Research Institute (Japan)
- 8139 14 **Progress on single crystal beryllium windows** [8139-41]
A. Khounsary, B. Lai, Argonne National Lab. (United States); A. Rack, European Synchrotron Radiation Facility (France); S. Goto, Japan Synchrotron Radiation Research Institute (Japan); O. Chubar, Brookhaven National Lab. (United States); T. Weitkamp, European Synchrotron Radiation Facility (France) and Synchrotron SOLEIL (France)
- 8139 15 **Slumping monitoring of glass and silicone foils for x-ray space telescopes** [8139-42]
M. Mika, Institute of Chemical Technology (Czech Republic); L. Pina, Czech Technical Univ. in Prague (Czech Republic); M. Landova, Institute of Chemical Technology (Czech Republic); L. Sveda, R. Havlikova, Czech Technical Univ. in Prague (Czech Republic); V. Semencova, Rigaku Innovative Technologies Europe s.r.o. (Czech Republic); R. Hudec, Astronomical Institute (Czech Republic); A. Inneman, Rigaku Innovative Technologies Europe s.r.o. (Czech Republic)

Author Index

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Introduction

This volume contains papers presented at the conference on “Advances in X-Ray/EUV Optics and Components VI”, held in San Diego, California, USA, on August 22–24, 2011, as part of the SPIE 2011 International Symposium on Optics + Photonics.

The conference was composed of 5 sessions covering “Focusing Mirrors,” “Multilayer-based Optics,” “Focusing Zone Plates and Lenses,” “Facilities,” and “Spectroscopy.” It was complemented by a rich poster session and by a separate conference, Advances in Computational Methods for X-ray Optics, in the same programming track.

This conference was focused on technological developments in X-ray/EUV optics for synchrotron and FEL beamlines, laser and plasma physics, and astronomy. Accordingly, the applications covered the spectral range from vacuum ultra violet to hard X rays. Topics related to simulation, software development, and metrology, were largely presented in the above-mentioned conference on computational methods.

Scheduled for only one day of oral presentation accompanied by an evening poster session, this conference was rather dense and we would like to thank the authors, speakers, session chairs, programme committee members, and the conference participants for their contributions, and the SPIE staff for their help in making it a success.

Christian Morawe
Ali M. Khounsary
Shunji Goto

In Memoriam: Dr. Alexander Kazimirov (1952 – 2011)



We dedicate these proceedings to the memory of a friend, colleague, and fellow scientist, Dr. Alexander Kazimirov. Most recently a staff scientist at the Cornell High Energy Synchrotron Source in Ithaca, New York, Alex is remembered as a dedicated x-ray scientist, with considerable accomplishment in x-ray optics, and as an inspiring mentor of students. He was a regular participant and organizer of numerous SPIE conferences on x-ray optics applications, and he was on the program committee of this conference and had planned to attend as a session chair.

Alex was born in the village of Glubokiy in Russia on July 29th, 1952. He completed his PhD studies in physics in Moscow and continued his research in Moscow, Stuttgart, Chicago, and Ithaca. A rare combination of talent, perseverance, and dedication allowed him to make contributions to science and earned the respect of his colleagues around the world. More importantly, Alex was a loving husband, father, and friend. He had a vision for his family and did everything to build the foundations and reach his goals. To his last minute, he kept on learning, exploring, and building new plans and dreams for his loved ones. On August 12th, 2011 he completed his last ascent of Algonquin Mountain with his beloved dog by his side, and suffered a cardiac arrest that led to his untimely death.

Alex earned his PhD degree in 1989 at the Institute of Crystallography, Russian Academy of Sciences, and stayed on to become senior researcher and head of the group in x-ray optics and synchrotron radiation until 1994. He then worked in the laboratory of Prof. Manuel Cardona in Stuttgart, Germany, until 1998, when he decided to cross the ocean to assume a joint position at Argonne National

Laboratory and Northwestern University in Chicago. In 2000 he moved his family to Ithaca, where he accepted a position as a staff scientist and senior research associate at CHESS, filling pivotal roles as head of the x-ray optics group and beamline scientist.

Dr. Kazimirov worked with renowned scientists across the world on frontier topics in x-ray optics and technique development. Early in his career he strengthened the foundation of the x-ray standing wave technique with a high-profile publication in 1998 with colleagues J. Zegenhagen and M. Cardona on the relationship of isotopic mass and lattice constant (*Science* **282**, 930 (1998)). He worked closely with colleague V.G. Kohn to investigate the subtleties of x-ray beam focusing with simulations and experiments (*Phys. Rev. B* **75** (2007)). Alex was proud of how that work led, with collaborators A. Snigirev and I. Snigireva, to a series of careful and illuminating measurements at the ESRF on the spatial structure of focused x-ray beams diffracted from crystals (*J. Synchrotron Rad.* **16** (2009)).

As a staff scientist and beamline leader at CHESS, Dr. Kazimirov contributed to an impressive array of scientific and technical projects. Motivated by the scientific program of long-time friend A. Sirenko (NJIT), Alex coordinated efforts with D. Bilderback and the x-ray optics group to develop focusing glass capillaries and miniaturized channel-cut crystals to record microbeam high-resolution diffraction and x-ray standing wave data from novel semiconductor structures (*J. Phys. D: Appl. Phys., Rapid Comm.* **37** (2004)). Alex was very much involved with multilayer (ML) optics development, and, under his guidance, half of the experimental stations at CHESS were retrofitted with custom ML optics to extend their experimental capabilities. Alex worked closely with Y. Platonov and V.V. Martynov at Osmic Inc. (now Rigaku) to produce and evaluate several generations of ML substrates until a set of efficient narrow- and wide-bandwidth optics needed for innovative protein crystallography data collection was developed (*J. Synchrotron Rad.* **12** (2005)).

One of the roles Alex enjoyed most at Cornell was mentoring graduate students. He was famous for his no-nonsense approach to carefully outlining the x-ray techniques and equipment students would need to accomplish their work. He was generous with his time. An example of his dedication is provided by Prof. M. Miller (Cornell), who recalls that all of the x-ray characterization research papers produced by his group of graduate students in the Mechanical and Aerospace Engineering department have Dr. Kazimirov as a coauthor. These students, as well as his coworkers at Cornell and around the world, have lost a talented, dedicated teacher and a good friend.

BIOMEDICAL SPECTRAL X-RAY IMAGING; PROMISES AND CHALLENGES

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ABSTRACT

Imaging arrays with sub-millimeter detector pixels that count and allocate energy to each detected photon are now being introduced into biomedical computed tomography scanners. Consequently, bremsstrahlung x-ray can provide the advantages of simultaneous recording of multiple quasi-monochromatic x-ray images which can be used for identification of various materials within the image field. This capability increases the inherent contrast within biomedical CT images and also introduces the ability to use high atomic weight “foreign” elements (e.g., strontium) which are surrogates for “native” biological elements (e.g., calcium) to monitor tissue function (e.g., bone deposition). Challenges for this methodology include limited maximum fluence due to photon pile-up, charge-sharing between contiguous pixels and heterogeneous pixel characteristics due to manufacturing difficulties.

Keywords: Dual-Energy X-ray, Micro-CT, Clinical CT, X-ray Scatter, Photon Counting, Beam Hardening, Photon Pile-up, Charge sharing, Kedge, X-ray fluorescence

1. INTRODUCTION

Spectral x-ray imaging involves allocating the photon energy to each photon detected. Consequently, photon counting is an integral component of this approach. Spectral tomographic imaging has been used for decades in nuclear imaging in which different monochromatic gamma rays are distinguished so that Compton scatter (which has lower photon energy than the monochromatic gamma ray generated by the radionuclide) can be separated from the gamma ray of interest.¹ It has also been used in dual energy x-ray CT imaging for enhancing the contrast of elements with a K absorption edge (Figure 1).²

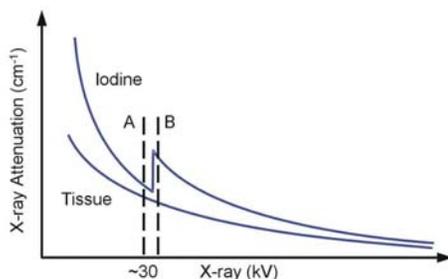


Figure 1 - A schematic representation of the change in x-ray attenuation coefficient with change in x-ray photon energy for iodine and for soft tissue. If x-ray images are generated from a narrow bandwidth x-ray spectrum, one just below and another just above the photon energy of iodine's K absorption edge, then their subtraction essentially removes the soft tissue but leaves a significant fraction of the iodine component of the image.

However, as illustrated in Figure 2, it's important to note that up to now the dual energy x-ray subtraction imaging involved broad spectrum x-ray and did not involve photon counting. The major x-ray CT companies are marketing clinical CT scanners which can utilize dual energy subtraction for separation of the iodine in intravascular contrast agent from calcium accumulations in diseased arterial vessel walls or discriminate different material contents of kidney stones and tissue deposits such as occur in gout.^{4,5} The Siemens scanner⁶ achieves this by use of two x-ray sources with one operating at up to 140 kVp and a tin filter and the other tube operated at lower voltage, e.g., 80 kVp. The Philips scanner⁷ uses a single x-ray source with a dual layer detector array in which the detector material in the

superficial array is selected for capturing low energy photons and the deep array selected for capturing the high energy photons.

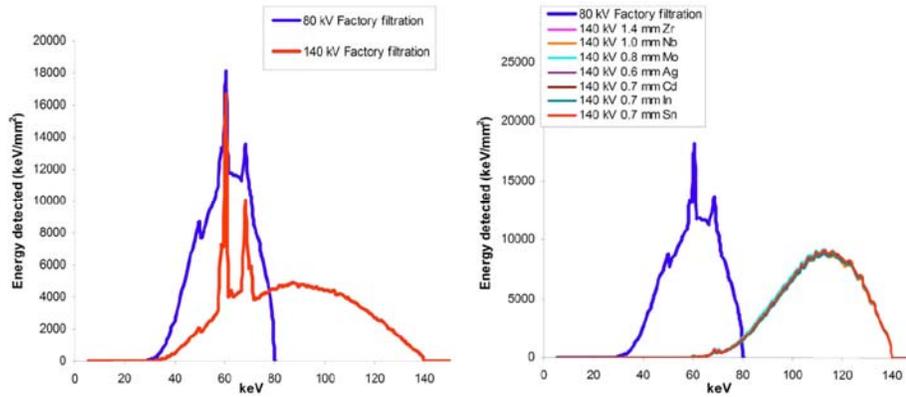


Figure 2 - *Left panel* shows a typical x-ray spectrum of a clinical CT scanner’s x-ray source operated at 80 and 140 kVp. These sources were both filtered with a layer of aluminum. Note the considerable overlap of the two spectra. The *right panel* shows the two spectra with the 140 kVp spectrum after filtration through various thin, fairly high atomic weight, metal foils. Reproduced with permission from Ref 3.

An improvement to this approach was implemented in x-ray imaging such as mammography and micro-CT which utilize lower photon energies.⁸ Figure 3 shows that the bandwidth of the bremsstrahlung can be greatly narrowed by use of the $K\alpha$ emission of a selected metal in the x-ray source’s anode along with a metal foil filter with a Kedge just above the $K\alpha$ of the anode.

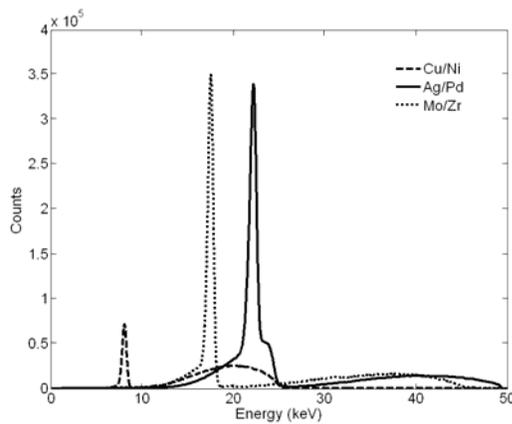


Figure 3 - Three x-ray spectra generated with anodes made of copper, molybdenum and silver. These metals have $K\alpha$ fluorescence peaks at 8.03&8.05 keV for copper, 17.4&17.5 keV for molybdenum and 22.16 &21.99 keV for silver. When these spectra are filtered by a foil of nickel (Kedge 8.3keV), zirconium (Kedge 18.0 keV) or palladium (Kedge 24.4 keV) respectively much of the spectrum above and below the $K\alpha$ peak is preferentially suppressed leaving these quasi-monochromatic x-ray spectra. Reproduced with permission from Ref. 9.

The advantage of this approach is that there is greatly reduced beam hardening (i.e., the spectral content of penetrating x-ray shifts to higher energies with increasing thickness of the transilluminated object. In CT beam hardening results in the “cupping” artifact¹⁰ in which the CT image grey scale varies with location within an object of uniform attenuation coefficient. These clinical and micro-CT approaches, however, do not fully exploit the power of spectral imaging because the bandwidth of the x-ray spectra used are still quite broad. Importantly, synchrotron x-ray imaging methods (which has sufficiently high flux to allow imaging at very narrow (e.g., 50 eV) bandwidth¹¹) are limited by the fact that they typically do not count photons. Counting photons is important because it reduces the quantum noise to that of the detected photons and eliminates electronic noise of the imaging detector system.¹²

In recent years detector arrays have been developed that have x-ray photon counting and energy discriminating capabilities.¹³ This development now opens the door to fully exploiting spectral x-ray imaging capabilities for high spatial resolution x-ray imaging. The following description of one such imaging array illustrates the potential and technical challenges associated with this approach.

2. SPECIFIC METHODOLOGY

2.1 Methodological difficulties

Figure 4 is a schematic of the Medipix x-ray detection array developed at CERN. It shows a layer of material (silicon in this example) which captures x-ray photons and transports the resulting shower of electrons to the deeper surface by virtue of the potential gradient imposed across the material. The number of electrons in that shower being proportional to the x-ray photon energy.

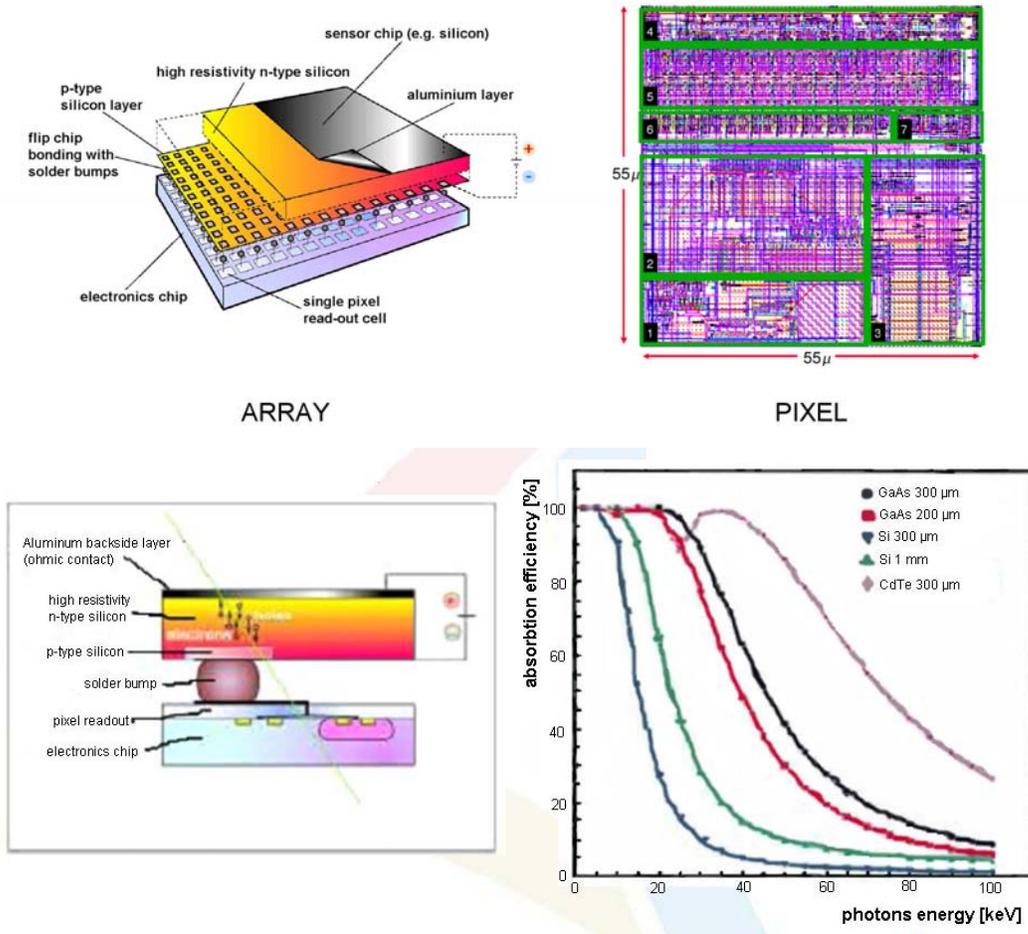


Figure 4 – *Left upper panel* is a schematic representation of a Medipix chip and its components. See text for details. *Right upper panel* is a magnified view of one of the $55 \times 55 \mu\text{m}^2$ CMOS circuits underlying each detector “pixel”. The *left lower panel* is a schematic representation of the bump bond between the x-ray-to-electron converting material and the CMOS circuitry. The *right lower panel* shows the absorption efficiency of several candidate material for converting the x-ray to electrons. (Right upper panel reproduced with permission from Ref. 14. Lower panels, courtesy from Dr. A. P. Butler, Univ. Canterbury, Christchurch NZ).

2.1.1 Charge sharing

As illustrated in Figure 5 the charge cloud generated by the x-ray photon is sensed by one or several contiguous CMOS circuits in a 256x256 array beneath the layer. This circuit counts the number of clouds that fall above the program-selectable energy threshold and converts their charge to cm index of photon energy. These data are stored in a memory with a capacity for the information about 8000 photons.

The Medipix3 detector array's CMOS circuit also "look" at their contiguous neighbors to see if there is coincident detection of photons. This is important as the cloud of electrons may fall on adjacent pixels.

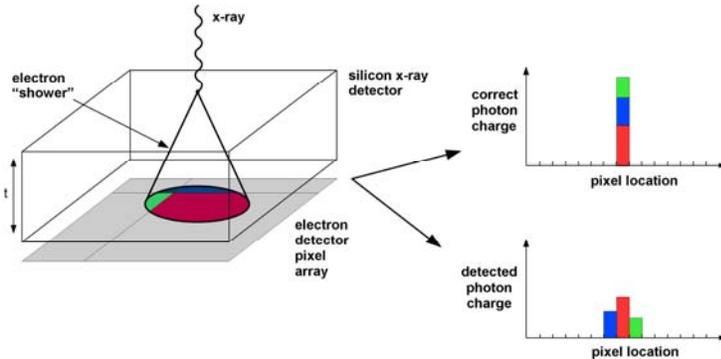


Figure 5 – *Left panel* is a schematic representation of the shower of electrons generated by the absorption of one x-ray photon. Note that this shower can affect the domain of several contiguous pixels. The *right lower panel* shows the charge recorded in each of those pixels. The *right upper panel* shows that one of the pixels is allocated one photon with an energy equal to the sum of the three, coincidentally recorded, signals.

If taken at face value this would result in several lower energy photons being detected. The CMOS circuit determines that they are from one photon, thus by adding the values and allocating the sum to the pixel with the highest number of electrons, deals with this charge sharing problem. Figure 6 illustrates the impact of this capability.

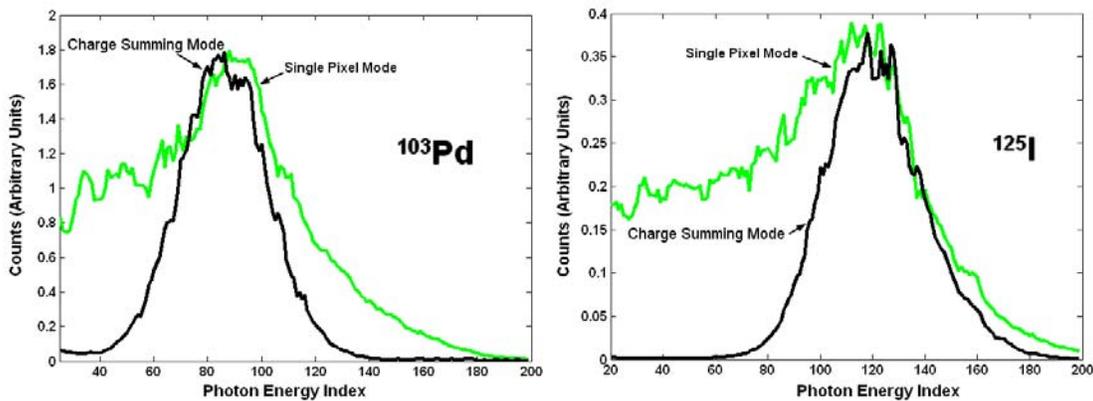


Figure 6 – *Left panel* shows the **green plot** spectrum recorded with the Medipix3 chip of a palladium 103 source which generates predominantly 20 keV gamma rays and the *right panel* shows the **green plot** spectrum of an iodine 125 source which predominantly generates 27keV gamma rays. Note, the "shoulder" of low energy photons which result from the charge-sharing artifact of the chip operated in the "single pixel mode". The **black** spectra are those generated when the chip is operated in the "charge summing mode". The abscissa's scale is in analog to digital units, which can be calibrated from these gamma ray emission responses.

2.1.2 Charge ‘pile-up’

Another issue is the problem of charge pile-up^{15,16} in which two photons strike a pixel simultaneously and thus are detected as a single photon with the energy equal to the sum of the two photons. Figure 7 illustrates the impact of the pile-up phenomenon. In addition to reporting fewer photons than actually arrive at the detector, there is a skewing from lower to higher photon energies in the reported spectrum. This can only be corrected at the CMOS level by making it faster or by reducing the size of the detector pixel. Consequently, we deal with this by reducing the rate of photon delivery to a level at which the pile up effect is negligible. Pile-up and charge sharing have opposite consequences. Pile-up is reduced with small detector pixels but charge sharing decreases with increased pixel size. Hence, pixel size must be matched to the imaging application.

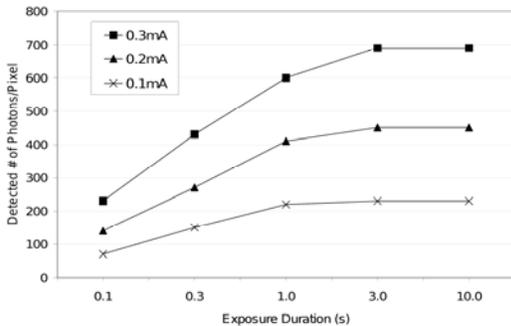


Figure 7 – Each of these curves was generated by exposing the chip to a constant number of photons, but at increasing rates of delivery by decreasing the distance of the x-ray source to the detector array and by proportionately reducing the time interval over which they were delivered. If there was no photon “pile-up” the number of photons detected should remain constant at all exposure rates, but as illustrated here the number detected decreased if those photons were delivered in less than 1 second under these exposure conditions. As the x-ray source current was increased we see a proportional increase in the number of photons detected and an appearance of the pile-up effect at lower exposure rates.

Figure 8 shows that increasing pile-up, resulting from increasing rate of delivery of photons caused by increased current in the x-ray source, results in skewing to the right of the x-ray spectrum measured with the silicon-based MPX3 imaging array.

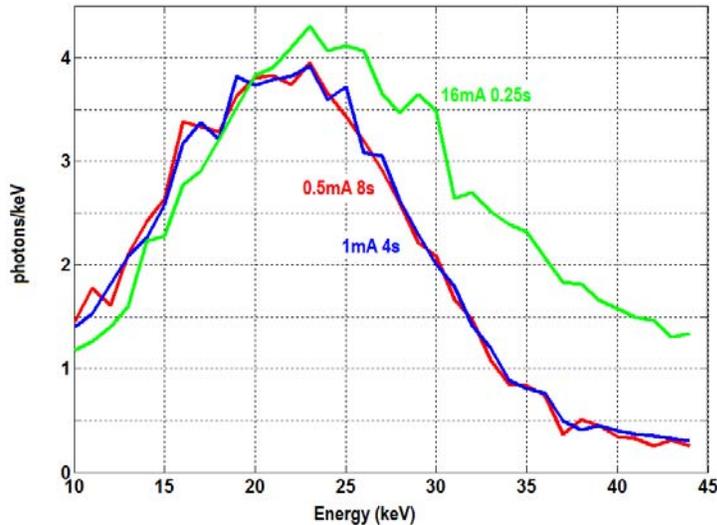


Figure 8 - The spectrum of the tungsten anode x-ray source as conveyed with the Medipix3 imaging array when exposed to 4 mAs at 35kVp, delivered at increasing mA settings for correspondingly shorter exposures so as to ensure equal total exposures. With increasing mA pile-up increases and results in the rightward skewing of the spectrum. The imaging array was operated in Charge Summing Mode.

2.1.3 Non uniform pixel sensitivity

Another technical issue is the heterogeneity of the individual pixel characteristic exposure to signal output curve. Ideally this input/output relationship is linear until it saturates beyond the capacity of the counter in the CMOS circuit. However due to manufacturing imperfections, the sensitivity of each pixel differs so that some saturate earlier than others when exposed to the same x-ray flux. Figure 9 shows that with increasing exposure the average signal from the array “plateaus” as more and more pixels reach their individual plateaus. However, if we expose the array in time slots that expose even the “weakest” pixels to just below the “knee” of their input/output curve, and repeat those exposures

after down-loading after each exposure, we can get the linear relationship at increased exposure by summing those exposures.

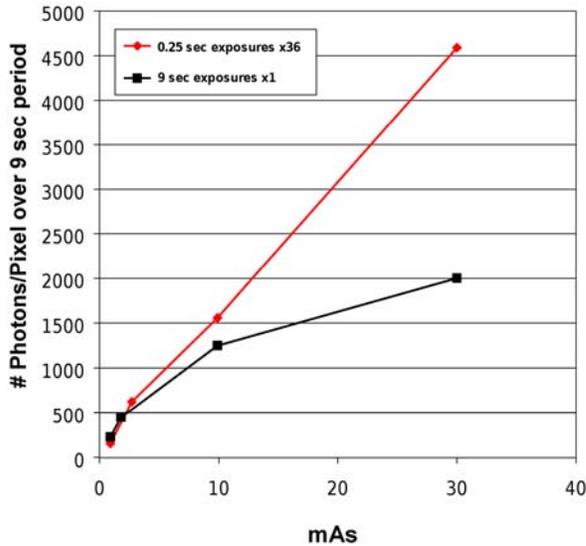


Figure 9 – The **black** curve indicates the impact of the heterogeneity of detector pixel saturation exposure as a function of total exposure. The **red** curve shows that if the same data are collected piecewise with a sequence of short-duration exposures, then the expected linear relationship results. See text for details.

2.1.4 Detector fluorescence

Finally, there is the problem of fluorescence and x-ray scatter with the detector material.¹⁶ Silicon, Galium and Arsenic have fluorescence energies below 10 keV and hence are not of concern in micro-CT, mammography or clinical CT. However, Cadmium and Tellurium have fluorescence at about 23 and 27 keV, values which could significantly distort the photon energy information in micro-CT and mammography, but probably not significantly in clinical CT.

2.2 Applications

An immediate consequence of energy resolving x-ray imaging is the ability to eliminate the beam hardening artifact in CT. Figure 10 is a plot of CT image pixel grey-scale values along a diameter through a test phantom. If the full spectral width is used we get the “cupped” profile whereas if we used just a narrow bandwidth selected from that same exposure the “cupping” artifact is essentially eliminated. Note the increased noise in that profile – consistent with the fewer photons in the narrow bandwidth spectrum used in generating this tomogram. However, if we were to do a CT reconstruction for each of the multiple energy bins within that broad spectrum, and then added those images then the “cupping” artifact would still be eliminated and the noise would be essentially the same as the single broad spectrum data.

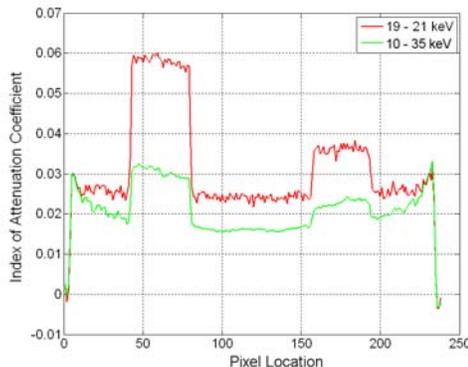


Figure 10 – The **green** profile is a CT value profile along a diameter of a plexiglas test phantom scanned with broad spectrum x-ray. It shows the cupping artifact due to beam hardening. The **red** profile is from the same diameter of the phantom, but from a CT image generated with the narrow bandwidth section selected from the broad spectrum scan data set. Note, the great reduction in beam hardening artifact and the increased noise (due to the fewer photons).

Figure 11 illustrates the impact of multi-energy imaging on the ability to identify the signal due to an element with a K-edge within the range of the spectrum. In this case rubidium, with a K-edge at 15 keV, the characteristic increase in CT grey scale values as the photon energy increases through the K edge energy. In this case it identifies and discriminates the rubidium from potassium. A possible importance here is that rubidium is a biological surrogate for potassium and hence muscle cell activity could be monitored by quantitating the amount of rubidium incorporated into muscle, a mechanism used previously using NMR spectroscopy scans to measure the uptake or washout of ^{87}Rb .¹⁷

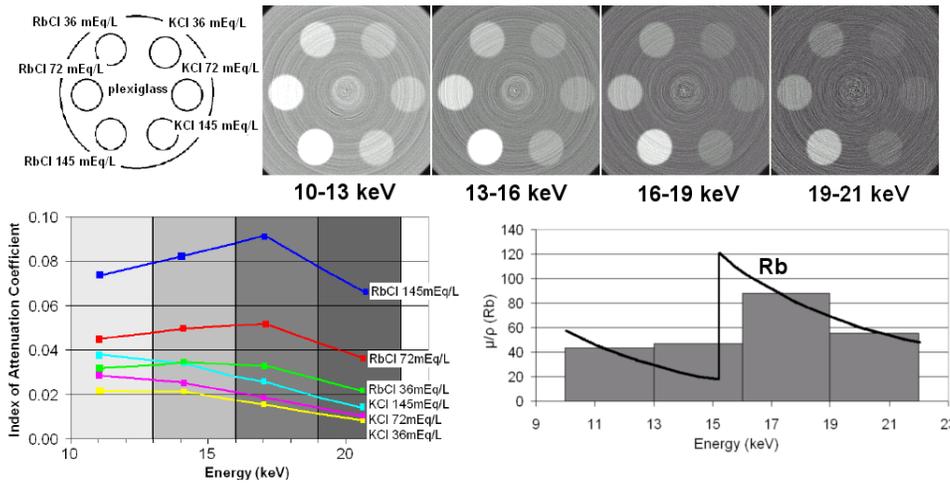


Figure 11 – Upper left panel is a schematic of the contents of a plexiglas test phantom containing samples of potassium and rubidium chloride solutions of different concentrations. 145 milli-equivalent is the intracellular concentration of potassium. The right upper panels show the quasi monochromatic CT images generated at increasing x-ray photon energy. The left lower panel shows the measured CT values and how these change with x-ray photon energy. The right lower panel uses the NIST¹⁸ K-edge of rubidium and how this information is conveyed by the 3 keV-wide spectral “bins” used in this study. The loss of the clear K-edge results from the spectral width, but the attenuation decay as a function of increasing photon energy for rubidium clearly allows it to be distinguished from the potassium.

An exciting development is the use of gold-labeled nano-spheres, that are attached to antibodies targeted to specific cell types, which can be injected into the blood stream and then depositing preferentially in tissues such as cancer.¹⁹ The high attenuation coefficient of gold, combined with its K absorption edge of 80.7 keV, allows detection and discrimination from other sources of local increase in CT grey-scale value even at relatively low concentrations of the nano-spheres in the tissue. However, the concentration of the nano-spheres should exceed a certain minimum in order to prevent loss of specificity due to the partial volume effect resulting from CT image voxels being too large relative to the number of nano-spheres per voxel. Figure 12 illustrates this effect with a single gold-coated 15 micrometer diameter micro-sphere, in water, imaged at different voxel sizes.

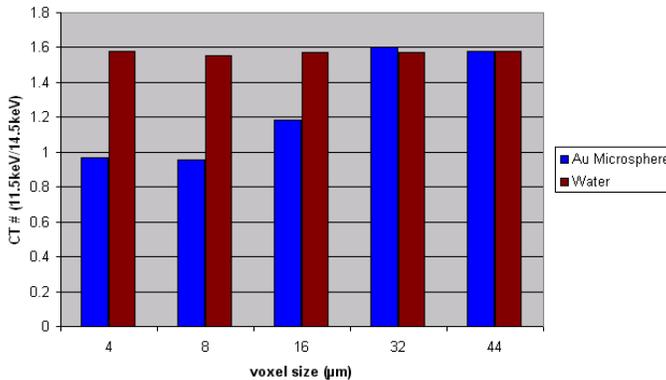


Figure 12 - a bar graph of the CT grey-scale values of a single voxel containing a 15 micrometer diameter, gold coated micro-sphere. If the micro-sphere were solid gold then detection of the microsphere in a larger voxel size would still be possible.

Spectral imaging also has potential for greatly facilitating x-ray scatter imaging. Coherent x-ray scatter (as distinct from incoherent – i.e., Compton- scatter) can provide information about chemical bonds and of some repetitive submicron anatomical features. Figure 13 shows how this involves recording the x-ray scatter at several angles of view away from the illuminating x-ray beam over a range of 0 to 20 degrees. Hence, a CT scan would involve rotation through 360 degrees using a single slice exposure. If bremsstrahlung is used the scatter recorded at each pixel will have multispectral information as well as being the integral of the scatter generated along a chord of the illuminated object cross section.

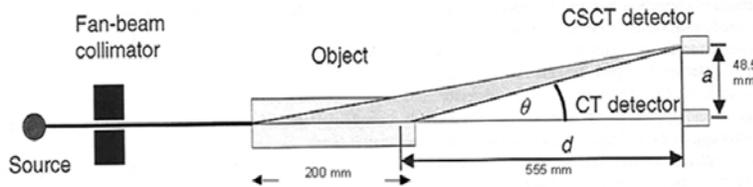


Figure 13 - A schematic of how a clinical multi-slice CT scanner can be converted to a single slice coherent x-ray scatter detection scanner. Reproduced with permission Ref. 20.

Figure 14 shows that if an energy discriminating detector is used, combined with a polycapillary x-ray optic collimator,²¹ then all necessary information can be recorded from one angle of view – the spectral information now providing the equivalent of the angle in the arrangement illustrated in Figure 13.

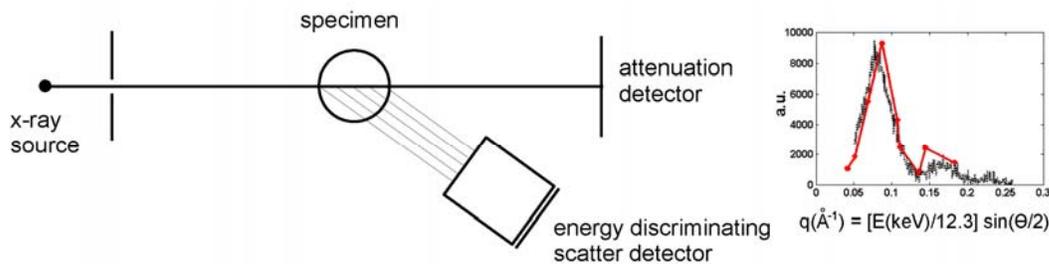


Figure 14 - A schematic of a planar x-ray exposure (seen edge on) and the scatter from that plane being observed via a collimator held at a fixed angle to the x-ray plane. The right panel shows how the spectral energy values can be used to generate the momentum transfer function for the material of lucite. The red profile was generated with the spectral imaging array at one angle and the black profile was generated with multi-angular data without energy discrimination. Modified and reproduced with permission from Ref. 22.

3. DISCUSSION

The overview of capabilities and technical challenges listed above suggests that the introduction of spectral x-ray imaging in clinical CT has potential for increasing the CT image contrast, signal to noise, accuracy of CT grey-scale values, and ability to identify and/or discriminate elements. This will expand the use of CT beyond the current anatomic information to increase the repertoire of functional information. Examples of the latter include quantitation of iron content in livers in hemochromatosis, discriminating iodine (in contrast agent) in arterial lumens from calcium in the arterial walls, and iron from calcium in arterial walls in atherosclerotic plaques. With this capability there will be stimulus for developing contrast agents based on lanthanide elements with K edges in the clinical kV ranges. Consequently multiple contrast agents could be used simultaneously for use in dual indicator dilution techniques such as blood pool versus contrast excreted via the kidney or bile or diffusing into the extravascular space as an index of local endothelial permeability. The method can also be extended by labeling nano-particles (e.g., used to selectively

attach to cancer cells) with a lanthanide element which can be readily detected and identified by its K-edge signature. Preliminary data and progress in manufacturing experience suggest that technical challenges can be overcome.

4. ACKNOWLEDGEMENTS

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